Development of a new database for Auger electron and X-ray spectra

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Abstract. An energy correction method is described to account for the Breit and QED effects on Auger electrons and X-ray energies in the recently developed atomic relaxation model BrIccEmis. The results are compared with literature and new experimental data for Z = 52. Overall this improves the agreement of the calculated energies with the literature values. A new atomic radiation database NS_Radlist, will contain atomic transition energies from the BrIccEmis program with these energy corrections.

1 Introduction

Highly-targeted cancer therapy requires a very localized radiation source that selectively causes damage to the cancer cells while leaving the surrounding healthy cells unaffected. Low-energy (< 1 keV) electrons, which have high linear energy transfer (LET) and correspond to very short interaction ranges (of the order of nm) can be used for such localized sources. Low-energy electrons can be provided by radioisotopes that emit Auger electrons as part of their electron capture and/or internal conversion decays. The possible use of Auger electrons in cancer therapy has been discussed extensively in the literature [1–3]. In order to use Auger electrons for medical applications, it is important to know their yields and energy distribution. Unfortunately this is not well known at present, especially at low energies (< 1 keV),

Auger and X-ray transitions follow when a vacancy is present in an inner atomic shell. The excited atom will readjust itself to a more stable state by propagating initial inner shell vacancies toward the outer shells by means of the emission of X-rays and Auger electrons. This process is known as atomic relaxation. A significant number of Auger electrons with energies in the range from a few eV to 100 keV can be produced through this process. Due to the large number of possible relaxation paths, it is convenient to calculate the full atomic relaxation process using a Monte Carlo approach. At the ANU, a computational model BrIccEmis was recently developed [4, 5] to evaluate the full atomic relaxation spectrum.

BrIccEmis extracts nuclear structure data information from the Evaluated Nuclear Structure Data File (ENSDF) [6] to determine the distribution of initial vacancies. The propagation of atomic vacancies at each step is then randomly selected from all available atomic transitions, using the corresponding transition rates as weighting factors.

Propagation steps are repeated until all higher order vacancies are filled, or no further transition is allowed, or the vacancies are all in the valence shell [5]. Atomic transition rates are taken from the Evaluated Atomic Data Library (EADL) database [7] and transition energies are calculated at each step of the propagation for the given vacancy distributions based on the relativistic self-consistent-field Dirac-Fock method in the *jj*-coupling scheme using the RAINE code [8]. For conversion electrons which are emitted as part of the nuclear decay process, the theoretical internal conversion coefficients are taken from the program BrIcc [9]. A detailed description of the BrIccEmis model can be found in [4, 5].

The BrIccEmis model has been tested by comparing the calculations with available literature values [5] and overall a fairly good agreement was found. This includes our recent electron measurements from the electron capture decay of 125 I [10–12]. However, it was realized that the energies used in BrIccEmis are too high [5], due to the lack of quantum electrodynamic (QED) and Breit magnetic electron interaction corrections [13] in the RAINE code. The overestimation can be 1 keV for K Auger transitions at Z = 100. The simulations in BrIccEmis are very CPU intensive due to the complex nature of atomic relaxations. Thus, in order to allow the newly calculated atomic spectra to be used quickly and effectively, a new database of atomic radiation spectra will be built.

In this paper, an energy correction method is described to improve the energies calculated by the RAINE code for use in BrIccEmis and the results are compared with the most comprehensive compilation of Auger-electron energies for singly-ionized atoms [14] and the experimental data. This correction is one of the major improvements implemented in BrIccEmis to be used for the construction of a new database. This database, named NS_Radlist [15], will be suited for a wide range of applications, including dosimetry simulations.

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2 Evaluation of Auger electron energies

An Auger transition involves the filling of an initial vacancy in the X (sub)shell by an electron in the Y (sub)shell, and the excess energy is transferred to another electron in the Z (sub)shell, which is then emitted. The emitted electron is called a XYZ Auger electron. For a singly ionized system, a XYZ Auger energy is described using the following equation [16]:

$$E(X, YZ) = E(X) - E(YZ). \tag{1}$$

where E(X) and E(YZ) are the total energy of the atom with a hole in the X shell and a hole in both the Y and Z shells, respectively. The binding energy of any shell, for instance the X shell, can also be expressed in terms of total energies of the atom:

$$BE(X) = E(X) - E(N). \tag{2}$$

where E(N) is the total energy of the atom in its neutral state. Thus from equations (1) and (2), the energy of an Auger transition can be written as [16]:

$$E(X, YZ) = BE(X) - BE(Y) - BE(Z) + \phi. \tag{3}$$

Here $\phi = E(Y) + E(Z) - E(N) - E(YZ)$ is the term related to hole-hole couplings. In BrIccEmis, the lack of Breit and QED corrections ultimately leads to the overestimation of the calculated binding energies. These corrections have most impact on the K-shell electrons, while they are smaller for the outer-shell electrons [18, 19]. On the other hand, the QED and Breit corrections will not affect ϕ much, since the corrections are similar for the positive and negative terms, resulting in a partial cancellation. In order to account for Breit and QED corrections in BrIccEmis, the neutral binding energies from literature [9] are used and the corrections on ϕ are assumed to be zero. Clearly, the accuracy of the calculated energies after corrections will depend on the accuracy of the binding energies in the literature. The implemented literature values of binding energy are for elements in the solid phase [9, 20], referenced to the Fermi level, while the calculated values in RAINE are for atoms, referenced to the vacuum level. The solid state effect on the correction term ϕ can be described as [14]:

$$\phi^{\text{solid}} = \phi^{\text{atom}} + S. \tag{4}$$

where S denotes the solid-state correction terms which depend only on the material and are assumed to be the same for all Auger transitions. S values for $10 \le Z \le 102$ are tabulated in Ref. [14].

If energies referenced to the vacuum level of the free atom are required, the neutral binding energies from the relativistic atomic structure package Grasp2K [21] calculations (with Breit and QED corrections applied) will be used in BrIccEmis. Note that in this case, there will be no solid state corrections.

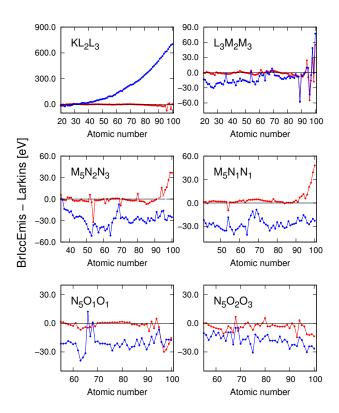


Figure 1. Auger electron energy differences between BrIccEmis with (red line) and without (blue line) energy corrections to values from Larkins [14].

3 Results

3.1 Auger energies comparison

The calculated energies after the semi-empirical correction are compared with Larkins' tabulation [14] of Augerelectron energies in Fig. 1. Note that in BrIccEmis the calculated energies were obtained in the jj coupling framework while the Auger energies in Larkins' tabulation are obtained in the intermediate coupling framework. The multiplet splitting depends on the coupling scheme used. For example, the KL_2L_3 Auger transition is split into two lines, $KL_2L_3(^3P_1)$ and $KL_2L_3(^1D_2)$ in the intermediate coupling scheme, while there is only one line in the jj coupling scheme. Therefore, for the comparison, the energy of an Auger transition from Larkins' tabulation used in Fig. 1 is the average of the intermediate coupling states. It should be noted that the energies in BrIccEmis after corrections and Larkins' tabulation [14] are both referenced to the Fermi level of the solid state.

As can be seen in Fig. 1, corrected energies in BrIccEmis are in good agreement with Larkins. A significant improvement was found in the KL_2L_3 energies, which is expected since the Breit and QED corrections influence the K-shell electrons most significantly. In general, the agreement between BrIccEmis and Larkins' tabulation is within $\pm 20 \,\text{eV}$ for Z < 89, whereas the differences can be up to $70 \,\text{eV}$ for $Z \ge 89$.

The observed differences could be attributed to the (i) multiplet splittings of energies in Larkins, and/or (ii) accuracy of the binding energies used in literature. Note

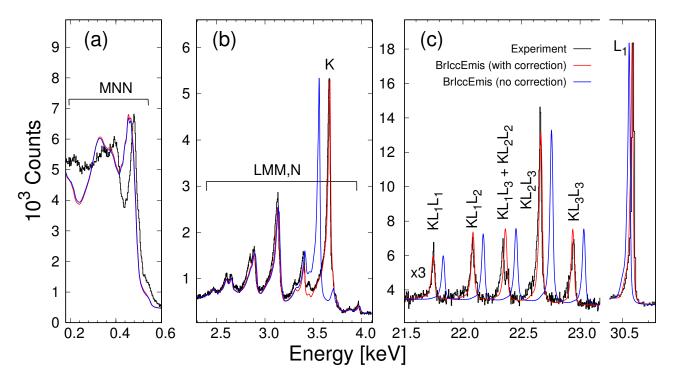


Figure 2. Auger electron spectra measured from the decay of ^{125}I . The red and blue curves indicate the calculated spectra from BrIccEmis with and without energy corrections applied referenced to the vacuum level. (a) The MNN Auger with the K conversion (not shown) electrons, (b) the LMM and LMN Auger with K conversion electrons and (c) the KLL Auger with the L_1 conversion electrons. Note the KLL Auger peaks are multiplied by a factor of 3 and a 7 keV region between the KLL and L_1 peaks is omitted for clarity. All calculated spectra are scaled to match the intensities of the conversion electrons.

that most of the binding energies in literature [9, 20] for Z > 83 are from interpolation between values for neighbouring elements and extrapolation from measured values in the heaviest element region. The binding energies in this region therefore lack verification with experimental data. This may explain the discrepancies observed for heavy elements in Fig. 1.

3.2 Comparison with experiment for Z = 52

Low-energy electron spectra from the electron capture decay of ¹²⁵I to ¹²⁵Te were measured at the Australian National University (ANU) using high-resolution electrostatic spectrometers [10–12]. The spectrometers measured the kinetic energy of the electrons directly with no work function correction applied, hence it is expected that the observed spectra are referenced to the vacuum level. A monolayer source of ¹²⁵I on gold substrate was used to enable very low energy Auger electron measurements without significant inelastic scattering contributions.

In Fig. 2, the KLL Auger electrons were measured together with the L_1 conversion electron, and the MNN, LMM and LMN Auger electrons were measured together with the K conversion electron. Note that the intensities of the calculated spectra from BrIccEmis in Fig. 2 were scaled to match the conversion electron peaks. The red and blue curves are the calculated spectra with and without energy corrections applied, respectively, referenced to the vacuum level.

3.2.1 KLL Auger group

The calculated energies of KLL Auger and L_1 conversion electrons have improved after applying the energy corrections. It should be noted that the observed multiplet splitting structures were not shown in the calculated spectra since the interactions between electrons are considered in the jj-coupling scheme in BrIccEmis. Nonetheless, the combined intensities of the multiplets are insensitive to the choice of coupling scheme being considered. For example, the calculated intensities for the $KL_1L_3 + KL_2L_2$ peaks reflect the sum intensity of the two corresponding measured peaks. Given the conversion electron intensities are relatively well known [9], the comparison of the KLL Auger electrons with the L_1 conversion electron reveals a 20% underestimation in the theoretical *K* Auger electron yield. This result may indicate that the K Auger transition rate from the EADL database, which is used by BrIccEmis, is underestimated for Z = 52.

3.2.2 LMM and LMN Auger group

For the *LMM* and *LMN* Auger electrons, a good agreement was found between all calculated energies with experiment. The agreement is slightly better after the energy correction, however it does not alter the Auger energies much in this case. On the other hand, note that the energy of the *K* conversion electrons has improved significantly after the corrections. The transition rates for the

LMM and LMN Auger electrons in the energy region between 3.2 keV to 3.5 keV are observed to be smaller than expected from the fit. However, in this case there is a pronounced overlap between the L Auger and the K conversion lines making it difficult to estimate the absolute intensities from those lines experimentally.

3.2.3 MNN Auger group

Both the calculated energies with and without the corrections could describe the main feature of the observed peaks reasonably well. Furthermore, the intensity ratio of the most intense *MNN* Auger (at 500 eV) to the *K* conversion (not shown in Fig. 2) lines was in fairly good agreement with BrIccEmis calculations. Clearly the overall agreement is not as good as for the *KLL* and *LMM*, *N* Auger spectra, reflecting the complex situations for this case as the vacancy propagates towards the valence shells and the interaction between many vacancies present is accounted for only approximately.

4 Conclusion

We reported the latest development of the BrIccEmis model, in particular the energy corrections for QED and Breit effects, and compared the results with literature. Overall the energies have been improved significantly for transitions involving primary vacancies on the *K*-shell, while the corrections are relatively minor for other transitions. The new atomic radiation spectra database NS_Radlist [15] will be calculated with the new version of BrIccEmis that includes these corrections.

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